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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

LENDLEIN, et al.

Examiner:

To be assigned

Serial No.:

10/510.889

Group Art Unit:

1615

Filed:

October 8, 2004

For:

Method of Achieving a Shape Memory Effect on Hair Using Cationic Agents

Customer No.:

23719

Kalow & Springut LLP

488 Madison Avenue, 19th Floor New York, New York 10022

May 18, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO/SB/08A. Applicants do not admit that the references are "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such references. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicants' duty to disclose all information of which they are aware and believe relevant to the examination of the above-identified application, Applicants believe that their invention is patentable.

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box

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Applicant: LENDLEIN, et al. Serial No.: 10/510,889

Filed: October 8, 2004

Supplemental Information Disclosure Statem



## I. U.S. PATENT APPLICATION PUBLICATION

Patent No.	Date Issued	Title
US 2003/0091602 A1 to WITTELER, et al.	May 15, 2003	Method of Treating a Cosmetic Composition by Irradiation With
,		NIR Radiation, and Its Use

### **II. FOREIGN PATENT DOCUMENTS**

Publication No.	Country	Publication Date	
JP 2001240512, JPO Abstr.	JP	4 September 2001	
WO 02/09655 A2 & A3	WIPO	7 February 2002	

## **III. NON PATENT PUBLICATIONS**

JP 20012450512, Derwent Record (2 pages)

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,

Tor Smeland

Registration No.: 43,131 Attorney for Applicant

Kalow & Springut LLP (212) 813-1600

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/510,889	_		
Filing Date	08 October 2004			
First Named Inventor	LENDLEIN, Andreas			
Art Unit	1615			
Examiner Name	To be assigned			
Attorney Docket Number	13632DCTUS			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 2003/0091602	05-15-2003	WITTELER et al.	
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		FOREIGN	N PATENT DOCU	MENTS	·	
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sub>e</sub>
		JP2001005577, JPO Patent Abstr.	09-04-2002	BASF AG		
•		JP 2001005577, Derwent Record	09-04-2002	BASF AG		
		WO 02/09655 A2 + A3	02-07-2002	L'OREAL		
	L					<u> </u>

Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. The office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. The office that is propriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or stogestory for educing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.